Case No. SCSL-2004-16-T THE PROSECUTOR OF THE SPECIAL COURT

٧.

ALEX TAMBA BRIMA BRIMA BAZZY KAMARA SANTIGIE BORBOR KANU

WEDNESDAY, 14 SEPTEMBER 2005

9.25 A.M. TRIAL

TRIAL CHAMBER II

Before the Judges: Teresa Doherty, Presiding

Julia Sebutinde Richard Lussick

For Chambers: Mr Simon Meisenberg

For the Registry: Mr Geoff Walker

For the Prosecution: Ms Lesley Taylor

Ms Melissa Pack

Ms Maja Dimitrova (Case Manager)

For the Principal Defender: Mr Ibrahim Foday Mansaray

For the accused Alex Tamba

Brima:

Ms Glenna Thompson

For the accused Brima Bazzy

Kamara:

Mr Andrew William Kodwo Daniels Mr Mohamed Pa-Momo Fofanah

For the accused Santigie Borbor

Kanu:

Mr Amadou Koroma

Ms Karlijn van der Voort (legal assistant)

	1	Wednesday, 14 September 2005
	2	[AFRC140905A - AD]
	3	[Open session]
	4	[Accused Brima, Kamara and Kanu present]
09:28:42	5	[Upon commencing at 9.25 a.m.]
	6	PRESIDING JUDGE: Good morning. We are convening now to
	7	hear the reply by counsel for the Defence on the question of
	8	compellability. Ms Thompson, are you speaking first?
	9	MS THOMPSON: Yes, Your Honour.
09:29:29	10	Your Honour, if I may start with my learned friend
	11	yesterday relied on Rule 70 of the Rules of Procedure of the
	12	Special Court. If I may start by saying that it is the Defence's
	13	submission that Rule 70 does not apply to the current situation.
	14	In the first place, the Defence contends that the sources
09:30:01	15	of the witness's information do not fall under Rule 70(B). That
	16	Rule, Your Honour, speaks about or that Rule talks about
	17	information itself. The Prosecution has disclosed it has
	18	discharged it's duty under Rule 66 by disclosing all the
	19	information it has from this witness to the Defence. Further
09:30:36	20	disclosure of information is not the issue here. The issue here
	21	is the source of the information contained in the witness's
	22	statement.
	23	Under Rule 70 and I am talking now about Rule 70 in the
	24	broad sense, because in my respectful submission you have to look
09:31:02	25	at the rules in Rule 70 in its entirety, A to F. Under Rule 70
	26	the witness is allowed to withhold certain information, and in $\ensuremath{my}$
	27	submission this in itself would not be detrimental to the
	28	Defence's case, indeed because the witness is a Prosecution
	29	witness. The Prosecution brings its case, the Prosecution

- adduces the evidence it wants in support of its case; and if it
- decides the Prosecution needs to withhold such information, in my
- 3 respectful submission that in itself does not make the Defence
- 4 any worse off.
- 09:31:38 5 But as regards the sources of information, this is
  - 6 essential because it goes to the credibility of the witness. And
  - 7 I say so in particular for this witness, because it is my
  - 8 submission, and I say so unequivocally, that there are certain
  - 9 parts of that statement which frankly did not happen. There are
- 09:32:05 10 certain assertions in this statement which are not true. And I
  - 11 say so bearing in mind counsel's duty not to give evidence in a
  - 12 case. But I know that there are certain issues in this which I
  - 13 would take contention with.
  - Some of those stories were doing the rounds in the rumour
- 09:32:31 15 mill at the time Jan '99 -- that particular period, '98/'99, and
  - were later found to be incorrect. It is, therefore, imperative
  - 17 that the source of his information is known, so that he can be
  - 18 examined on it. I say so because one has to bear in mind that
  - 19 the witness, on page three of his statement -- I am using the
- 09:32:56 20 pagination at the bottom of his statement, Your Honour. It just
  - 21 says "page 3". The top is 00015172, paragraph 10. I will just
  - 22 flag it. I am not going to read it, but I flag it so that Your
  - Honours know that in paragraph 10, when he is talking under the
  - 24 rubric "Note on the information regarding methodology employed by
- 09:33:27 25 the UNOMSIL/UNAMSIL human rights team", he says that the findings
  - 26 were based on primary and --
  - 27 MS PACK: Your Honours, I apologise; we are in open
  - 28 session.
  - 29 MS THOMPSON: Your Honours, I flag it now. I do beg your

pardon. It merely says that his information was based on 1

- 2 secondary and primary information. I won't go into the
- 3 statement. But he also goes on -- and this is particularly
- 4 important. He also goes on to say that the secondary information
- 09:34:07 5 was subject to a process of verification and that corroborative
  - 6 sources were sought. And, even more important, that close
  - 7 attention was paid to the reliability of the information source.
  - Given what I have said about some of the information here 8
  - 9 being inaccurate, then, in my submission, the Defence will have
- 09:34:32 10 to cross-examination him on the reliability of his sources, how
  - 11 he came about those sources and who these sources were.
  - 12 JUDGE SEBUTINDE: Sorry, could you repeat the number of the
  - 13 paragraph?
  - MS THOMPSON: Paragraph 10. Simply being told, Your 14
- 09:34:58 15 Honours, that the information was obtained from an NGO, when they
  - 16 were and there still is scores of NGOs operating in this country,
  - or that they were obtained from an individual working within an 17
  - NGO there are hundred of them around does not fulfil, in my 18
  - 19 respectful submission, the duty of this witness to be full and
- 09:35:29 20 frank with this Court. And if he is allowed to withhold the
  - source of his information, then the accused would effectively be 21
  - barred from their right to examine the evidence against him as 22
  - laid down this Article 17(e) of the Statute. 23
  - Furthermore, Your Honour, in the conduct of a case, 24
- 09:36:05 25 especially -- I mean, for both sides, Prosecution and Defence, no
  - 26 witness gives evidence in isolation. When a witness gives
  - 27 evidence, you look at the evidence in the round, in the context
  - of the evidence that has gone before, and in the context of the 28
  - 29 evidence that is to come. It may be that the evidence that this

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witness gives will be used, may be used to cross-examine other 1 2 witnesses in future or to be valuated in the light of the other 3 evidence which has gone before. He is, after all, going to give 4 evidence of events which happened in the period stated in the 09:36:49 5 indictment which these defendants face. Therefore, to grant the 6 Prosecution's request will unnecessarily, in my submission, 7 interfere with the accused's right to cross-examine this witness. 8 My learned friend also mentioned the Milosevic decision 9 yesterday. That decision, Your Honour, with respect, does not 09:37:20 10 refer to the sources of information but to the actual information 11 provided to the Prosecution. With regard to the definition of 12 information in Rule 70, in paragraph 23 of the Milosevic 13 decision, it is stated that not only the informant's identity and the general subject of his knowledge constitute information 14 09:38:04 15 shielded by Rule 70 but also the substance of the information 16 shared by the person. The substance, as I said before, of the witness statement here is not at stake. It is the actual source 17 which we are seeking to know about. And in my submission, is 18 19 therefore not protected by Rule 70. If you agree with me, Your 09:38:39 20 Honours, then Rule 70 does not -- is not applicable here. Secondly, if we look at Rule 70(B) of our Rules, it says, 21 Your Honour, that "If the Prosecutor is in possession of 22 information which has been provided to him on a confidential 23 basis," and I will stop there for now. The wording there assumes 24 09:39:21 25 that my learned friends are themselves in possession of the 26 initial information and its origin. And as far as I am aware, 27 this is what I know from them, is that they do not have that information themselves. The witness has not divulged it to 28 29 anybody, so they do not have it. They are not in possession of

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it. Therefore, Rule 70(B) assumes that the Prosecution are in 1

- 2 possession of the information and here they are not.
- 3 Secondly, still on Rule 70(B) --
- PRESIDING JUDGE: Ms Thompson, why would that make a
- 09:40:11 5 difference to this situation if they do not have it?
  - MS THOMPSON: Your Honour, the Rules speak for themselves. 6
  - 7 The Rule says the Prosecution is in possession of the information
  - 8 and they do not have it. Especially because now were are talking
  - 9 about not the information itself. What they have, they have
- 09:40:30 10 disclosed to us and we are not complaining about that. It is the
  - 11 source of the information which they do not have, it is not in
  - 12 their possession, and which this witness has used to compile his
  - 13 statement is what we are complaining about. That is the
  - difference, Your Honour. 14
- 09:40:54 15 Also if we look at Rule 70(A), the inference from Rule
  - 16 70(A) is that "reports, memoranda, or other internal documents
  - prepared by a party, its assistants or representatives in 17
  - connection with the investigation or preparation of the case are 18
  - 19 not subject to disclosure or notification under the
- 09:41:17 20 aforementioned provisions." That is not what we are complaining
  - about. 21
  - If you go back to Rule 70(B), it says: "which has been 22
  - used for the purpose of generating new evidence." That is not 23
  - 24 the issue here. In my respectful submission, this interpretation
- 09:41:43 25 or the interpretation which we give to this Rule, our
  - 26 understanding of it is supported by the ICTY decision in the
  - 27 Milosevic case.
  - Paragraph 25 of that case says that all that Rule 70 28
  - 29 requires is that the information was provided to the Prosecution

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on a confidential basis. It is for those reasons, Your Honour, 1

- 2 that we say that Rule 70 does not apply.
- 3 I now turn Your Honour to the UN letter. If Your Honours
- do not agree with us on the issue of Rule 70, then we say that 4
- 09:42:38 5 the Prosecution cannot rely on the letter from the United
  - Nations, which Your Honours have, the letter dated the 23rd of 6
  - 7 May. That letter gave certain conditions and, in my respectful
  - submission, those conditions do not make Rule 70 applicable. It 8
  - does not provide a basis for the Prosecution's application under 9
- 09:43:06 10 Rule 70. The letter is read carefully. What the United Nations
  - 11 have said is that the witness's immunity from legal process is
  - 12 waived so that he can testify before this Court. If you look at
  - 13 page 2, the first paragraph of that letter, it says that waiver
  - 14 was done in the interests of the United Nations and could be done
- 09:43:40 15 without prejudice to those interests.
  - 16 In the second paragraph on that page, it is specifically
  - requested that the -- because that request -- sorry, that waiver 17
  - was made specifically because of the sensitive and confidential 18
  - 19 nature of the information and, indeed, perhaps that is the reason
- 09:44:01 20 for the closed session.
  - PRESIDING JUDGE: Ms Thompson, is the Prosecution relying 21
  - on that letter for this particular application? Were they not 22
  - relying on that for the closed session? 23
  - MS THOMPSON: Your Honour, my understanding is it was used 24
- 09:44:18 25 to extend. I did not make copious notes of what my learned
  - 26 friend said yesterday. I'm pretty sure she said something about
  - 27 the -- the waiver extending to the confidentiality. It was
  - 28 certainly used in her submission on confidentiality. I must say
  - 29 I did not make notes --

	1	PRESIDING JUDGE: I have a note, and I'm sure I can be
	2	corrected by my learned colleagues, but I have a note here and I $$
	3	quote: "The assertion it is not the UN's but is the witness's
	4	own confidential," not the UN is what I have noted counsel for
09:44:59	5	the Prosecution to say.
	6	MS THOMPSON: I will rely on your notes then, Your Honour,
	7	but I haven't got the transcript with me and, as I say, I did not
	8	make notes.
	9	PRESIDING JUDGE: Sorry, Ms Thompson, please continue.
09:45:26	10	JUDGE THOMPSON: Continue with the letter, Your Honour?
	11	PRESIDING JUDGE: Continue with your submission.
	12	MS THOMPSON: Yes. Your Honour, I think I was where the
	13	letter said sensitive and confidential nature to be provided in a
	14	closes session, which the Chamber already ruled on yesterday.
09:45:48	15	The waiver explicitly mentions both closed session and
	16	protective measures. There is no mention in that letter about
	17	the withholding of the naming of sources and this is a letter
	18	that, in my submission, mentions the sensitive and confidential
	19	nature of the witness's evidence but does not go so far as to say
09:46:23	20	that that evidence should not contain certain revelations or
	21	certain disclosures should not be made as a result of giving that
	22	evidence. It should also be noted that the letter was written by
	23	the Assistant Secretary-General for Legal Affairs of the UN. And
	24	if he meant to include that fact that sources should not be
09:46:47	25	disclosed, he would have included that. In omission, in my
	26	submission, was deliberate and therefore does not preclude this
	27	witness from testifying to the sources of his information. If
	28	the Assistant Secretary-General wanted that, in my respectful
	29	submission, he would have explicitly made that clear in the

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1 letter. It is also for that reason that I say that this letter

- 2 does not fall under Rule 70 and can in no way protect this
- 3 witness from his duty.
- My learned friend also argued absolute privilege and sought
- 09:47:37 5 permission for this witness to withhold information on the basis
  - 6 of the job that he did, that being the job of a human rights
  - 7 officer and that he gained his information on the promise of
  - confidentiality of the source. Your Honours, in the first place, 8
  - 9 there is no rule and the Prosecution did not mention any rule
- 09:48:05 10 upon which they based this application. There is no specific
  - 11 rule which provides for this eventuality, and I think my learned
  - 12 friend also said that yesterday. What the Rules provide for is
  - 13 the protection of the witness or the victim's identity but not
  - the source of the witness. And it is my submission that the 14
- 09:48:25 15 closed session would actually allay those fears.
  - 16 The general provision of the Trial Chamber II issue orders
  - under Rule 54, but in my subsequent submission, the Prosecution 17
  - would need to argue the application to be necessary for the 18
  - 19 purpose of an investigation or for the preparation or conduct of
- 09:48:50 20 the trial, and that has not been done.
  - JUDGE SEBUTINDE: What rule is that? 21
  - MS THOMPSON: Rule 54 of the Rules. Furthermore, Your 22
  - Honours, this evidence would be mostly hearsay, especially where 23
  - the secondary information is concerned. If the witness cannot 24
- 09:49:18 25 verify its source, then in my submission it should not be
  - 26 allowed. One of the reasons the International Courts have
  - 27 allowed hearsay evidence is for the Prosecution to be able to
  - show systematic and widespread character of the conflict. This 28
  - 29 particular witness, as indicated by my learned friend yesterday,

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will also testify with this in mind to show the systematic and 1 2 widespread character of the conflict. The witness's information 3 will at least, in part, be based on hearsay evidence. It is the Defence's submission that this would breach the accused's right 09:50:12 5 to examine the evidence against him, as I mentioned earlier under 6 Article 17(A) of the Statute, if this witness were to rely on 7 hearsay evidence, the source of which we do not know. Witnesses 8 who have appeared before this Court in the past have given 9 hearsay evidence, but at least we have been allowed to ask them: 09:50:37 10 How did you know about this? When did you hear about this? Who 11 told you? If the Prosecution's application is granted, we will 12 not be able to ask any such question, we will not be able to 13 verify anything. And, in my submission, that is the violation of 14 the basic rights of the accused. For that reason alone, Your 09:51:00 15 Honour, the application should be denied. 16 Yesterday also my learned friend gave an interpretation or at least the witness's interpretation of his duties as a human 17 rights officer which appears to differ, judging from the letter 18 19 that was written, at least from the UN interpretation, of what a 09:51:28 20 human rights officer does. As I mentioned earlier, the UN has not requested for this witness to withhold his sources. He, on 21 22 the other hand, has given an interpretation which he says is the 23 reason why he needs to withhold his sources. In my submission, when the letter was written on the 23rd of May the UN must have 24 09:51:53 25 taken into account the specific duties and privileges of human 26 rights officers as set out in the training manual on human rights 27 monitoring. I will come back to that later, but may I just say now that that document is dated 2001 on the front page of it, 28 29 Office of the High Commissioner for Human Rights, and at the

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bottom it is 2001, which is after this witness's period in this 1 2 country. 3 Since that document, as I mentioned, stated after, but was before the letter of 25th May 2005, it is our submission that by 4 09:52:49 5 not referring to any of the conditions that the witness should not reveal his sources - or indeed to any of the conditions 6 contained in that manual - the UN's interpretation of what this 7 witness's duties were differ in no small way from this witness's 8 9 interpretation, and that it was content that once protective 09:53:16 10 measures and closed session are in place, then the witness can 11 give evidence unfettered. I come back to the date which I mentioned earlier. Sorry. 12 13 My learned friend mentioned -- actually, she took us through 14 yesterday the last page, section J, of that manual on 09:53:44 15 confidentiality. Within that paragraph, I think it is about -- I 16 will flag it for Your Honours. Paragraph 11, the sentence on the sixth line going down. Which says, "The HRO should ask persons 17 they interview whether they would consent to the use of 18 19 information they provide for human rights reporting or other 09:54:18 20 purposes." The statement we have before us does not tell us whether this was ever done. In any event, it is our argument 21 that this manual was not in force at the time this witness was 22 carrying out his duties as an HRO, and, therefore, he could not 23 have been covered by it. Also, reading through the manual, we 24 09:54:52 25 have not been referred to any manual in force at the time this 26 witness was working in Sierra Leone. Indeed, if there was one in 27 force at all -- in fact, I would say on reading it, it seems to 28 me this was the very first manual that was ever done by this 29 office, because it refers to the reasons why this manual had to

	1	be drafted.
	2	It is, therefore, my submission that the witness is
	3	belatedly relying on this manual and asking the Court to give the
	4	manual retrospective effect. Therefore, the arguments that my
09:55:41	5	learned friend put forward yesterday relating to the witness's
	6	credibility as an HRO, which job I believe he does not in fact
	7	currently hold, and the confidentiality HROs enjoy, cannot in my
	8	submission support their application.
	9	My learned friend also referred us to the Talic case
09:56:10	10	yesterday. That was before the ICTY Appeals Chamber. In our
	11	respectful submission, Your Honours, this comparison is not a
	12	fair one. That case refers to a different situation, where the
	13	question was whether a war correspondent of the Washington Post
	14	could be compelled to reveal his confidential information.
09:56:46	15	First of all, the issue before the ICTY was whether a
	16	reluctant witness could be subpoenaed to testify before the
	17	Court. This here is a different problem. The witness wants
	18	or apparently is willing to testify, but is afraid that
	19	information which he gives or which he may be compelled to give
09:57:17	20	during his testimony might leak out. On that basis this case can $% \left( 1\right) =\left( 1\right) \left( 1\right) \left($
	21	be differentiated from the ICTY case.
	22	The Prosecution yesterday referred to journalists in
	23	general, but that decision narrows the application to war
	24	correspondents only, and that can be seen in paragraph 29 of the
09:57:49	25	decision. In our submission, allowing human rights monitors in
	26	general, like this witness, to withhold certain parts of their
	27	evidence would jeopardise the accused's rights and cannot be
	28	equated to the job of a war correspondent. Article 196 of the

29 Universal Declaration of Human Rights is quoted in the ICTY

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decision to indicate the public's right to information. This 1 2 aspect is not comparable to the relevance of human right monitors 3 withholding information of their sources in closed sessions. In any event, if -- and I hasten to say I do not accept 4 09:58:45 5 that it will, but if, for the sake of argument, as an HRO he 6 fears any difficulty in being able to obtain sensitive 7 information from those he interviews, or that his colleagues will 8 not be able to obtain sensitive information from those he 9 interviews, then he now has the manual which is now in force. He 09:59:04 10 now has the manual and he has in particular paragraph 11, which I 11 pointed out to you earlier. That is the issue of seeking the 12 interviewee's consent. But as I say, and I add again, that I do 13 not believe, and it is my submission that giving his evidence in closed session, revealing his sources, would jeopardise the 14 09:59:32 15 future roles of HROs. 16 One obviously recognises, and my learned friend said that yesterday, that human rights monitors in conflict areas do a very 17 important job, undeniably of high importance. But, as I said 18 19 earlier, it cannot be equated to the role of a war correspondent. 09:59:51 20 In the ICTY decision it is stated in paragraph 35 that "a vigorous press is essential to the functioning of open 21 societies." Further in that paragraph, the European Court of 22 23 Human Rights is quoted as referring to war correspondents as playing a vital public watchdog role. Paragraph 32 of the same 24 10:00:25 25 decision refers to the Simic case, where the Trial Chamber 26 indicated that the ICRC has a right under customary international 27 law to nondisclosure of information so that its workers cannot be 28 compelled to testify before international tribunals. Or the 29 International Tribunal, I beg your pardon.

	1	It is our submission, Your Honour, that that point is a
	2	special position reserved only for the ICRC and not for other
	3	workers working within the human rights field in other conflict
	4	areas, or in any conflict areas. The omission of other workers
10:01:21	5	in the human rights field is, in my submission, deliberate,
	6	because workers of the UN could not have been far from the minds
	7	of the International Tribunal. That rule, in my submission,
	8	cannot therefore be extended to apply to this witness.
	9	For those reasons, the Prosecution's application should be
10:01:43	10	denied.
	11	I now turn to the issue of the closed session which was
	12	granted yesterday. I for one, Your Honour, took a position of
	13	not opposing because I thought the witness would feel comfortable
	14	to give his evidence, if asked about his sources, within the
10:02:04	15	guarantees offered by a closed session. Although most witnesses
	16	before this Court have been granted protective measures, the
	17	specific measure of a closed session is an extraordinary one. Or
	18	the one hand, it affects the accused's rights and the public's
	19	right to an open trial, but with it comes certain guarantees to
10:02:26	20	the witness in the witness box. Even as Defence we understand
	21	that, that sometimes it is necessary to give certain witnesses
	22	those guarantees in order for the case to go forward, in order
	23	for them to give their evidence. And the closed session
	24	yesterday was granted in part because of the conditions the UN
10:02:48	25	imposed on the Prosecution to allow this witness to testify. But
	26	those conditions were only requested protective measures and
	27	those have been satisfied by the closed session.
	28	My learned friend went further, when she was making her
	29	submission yesterday, to say that even with the closed session

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there was a fear of the risk of leaking out of information that 1

- 2 this witness has, and also that the name of an informant would
- 3 leak out. As regards the first fear, the witness has already
- provided confidential information to the Prosecution which has 4
- 10:03:37 5 been provided to Defence under Rule 66.
  - The second fear, the Defence holds that the closed session 6
  - 7 sufficiently guarantees protection of the witness's evidence
  - against abuse. If the Prosecution or its witness has any 8
  - 9 specific reason to distrust any person present in the closed
- 10:03:58 10 session of misusing evidence presented in closed session, then,
  - 11 Your Honour, they should argue it. But simply referring to the
    - 12 witness's wishes is not good enough in my submission. It is as
    - 13 if the witness is saying I either have these guarantees or I go
    - away with my information. Well, that is not good enough, because 14
- 10:04:29 15 the Court has given him the guarantees that are usual in these
  - 16 situations. He cannot say -- there has been no specific reason
  - put before this Court as to why he distrusts the closed session, 17
  - or why he thinks the guarantees given by a closed session are 18
  - 19 insufficient.
- 10:04:57 20 We are prepared on this side to go some way in fact, an
  - extra mile if you like, to allay his anxieties. And that is 21
  - which has been done in this Court before. If a specific question 22
  - is put to him about the identity of his source and he doesn't 23
  - 24 want it on the transcript, he can write it down and it can be an
- 10:05:19 25 exhibit under seal. It has been done in this Court before and we
  - 26 are prepared for that to happen again. That way, it is not on
  - 27 the transcript and only those who are authorised by the Court
  - would have access to it. 28
  - 29 Your Honours, I don't think the Defence can do any more

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- than that. For these reasons, Your Honour, I respectfully say 1
- 2 that the probative value of the Prosecution's request is
- 3 outweighed by the need to ensure a fair trial. Withholding
- 4 information, especially withholding the sources of his
- 10:05:58 5 information -- I should correct myself, because this is not
  - information. I have made a big deal about this being the source 6
  - of the information rather than the information itself. So 7
  - withholding the source of the information in my respectful 8
  - 9 submission hinders the fair trial of the accused persons.
- 10:06:16 10 It is for those reasons the Defence opposes the
  - 11 Prosecution's request and asks that it be denied in its entirety.
  - 12 Unless I can help, Your Honour, that is all I propose to say at
  - 13 this stage.
  - 14 PRESIDING JUDGE: Thank you, Ms Thompson. Mr Koroma, do
- 10:06:35 15 you wish to address on this?
  - 16 MR KOROMA: Your Honours, first of all I wish to say that
  - on behalf of the Knoops team we entirely adopt the submission 17
  - made by Ms Thompson this morning on behalf of the Defence, and we 18
  - 19 do not wish to belabour the point that she has raised already.
- 10:07:08 20 But, Your Honour, I just want to make a quick reference to
  - Rule 70(D) which the Prosecution relied on yesterday. Your 21
  - Honour, it will appear in their submission that they are saying 22
  - 23 that this honourable Court has no discretion in the matter.
  - My Lord, with your leave if I may read Rule 70(D). It says: 24
- 10:07:51 25 "If the Prosecutor calls as a witness the person providing
  - 26 or a representative of the entity providing information under
  - 27 this Rule, the Trial Chamber may not compel the witness to answer
  - 28 any question the witness declines to answer on grounds of
  - 29 confidentiality."

	1	Your Honour, the operative word in this particular rule is
	2	the word "may". Your Honour, my own understanding of the rule is
	3	that it still leaves the Court with a discretion either to allow
	4	or disallow, that right that is in Rule (D) is not absolute. The
10:08:49	5	Prosecution extraneously argued that yesterday. Your Honour,
	6	I will take the point further to say that in fact there is
	7	already a guarantee of confidentiality, so there is no point in
	8	raising the issue. Like I said, my learned friend has already
	9	dealt with very seriously the issue of the closed session. I do
10:09:28	10	not wish to belabour that, but I just want to point out that the
	11	whole essence of the closed session is to enable the witness to
	12	open up and not to keep back any information to the Court. That
	13	information is, or should be made available to the Court, court
	14	officials, as well as the Bench. They expressed the fear
10:09:57	15	yesterday that that information might leak. But, Your Honour,
	16	since the inception of this trial there have been so many cases
	17	in which confidentiality has been granted and there is no single
	18	instance, the Prosecution has not pointed out to the Court any
	19	single instance wherein confidentiality is granted and that it is
10:10:28	20	breached. There has never been any breach of confidentiality.
	21	Your Honour, we, on our part, are fully aware of the
	22	consequences of the punitive measures that go with
	23	confidentiality.
	24	Again, quickly, Your Honour, I just want to buttress the
10:10:56	25	arguments of my learned friend to say that the Prosecution, in
	26	this particular application, have failed to show to this
	27	Honourable Court that the interests that they are seeking to
	28	seeking to protect the Prosecution has not convinced the Court
	29	on the interests it sought to protect Again Your Honour like

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- I have pointed out, the interests of all witnesses has already 1
- 2 been protected as contained in the letter of the Assistant
- 3 Secretary-General of the United Nations.
- 4 Finally, Your Honour, I just want to crave your indulgence
- 10:11:55 5 that when you consider your decision I want you to bear in mind
  - that there is already a decision on this point, on the same 6
  - 7 argument, on the same application, by Trial Chamber I. Your
  - 8 Honour, I want to say that that decision is persuasive, though
  - 9 not binding on this Court, but I want to urge you to consider
- 10:12:23 10 that mostly respectfully in your rule. That is all I wish to
  - 11 say.
  - 12 PRESIDING JUDGE: Thank you, Mr Koroma. Mr Daniels, have
  - 13 you any submissions on this matter?
  - 14 MR FOFANAH: Your Honours, on behalf of the Kamara team I
- 10:12:43 15 will just make one or two comments in order to strengthen the
  - 16 position already taken by my learned colleagues for Brima and
  - Kanu. 17
  - 18 Your Honour, we are submitting in particular that the
  - 19 present application is an attempt to impeach Rule 79 of the Rules
- 10:13:03 20 of the Special Court.
  - Your Honour, the witness who is about to testify has 21
  - already been granted full protective measures under Rule 69 of 22
  - the Rules of the Special Court. In order to allay his fears, 23
  - 24 Your Honours have already bent backwards to further give him an
- 10:13:36 25 additional protective measure under Rule 79 that I have just
  - 26 referred to.
  - 27 Your Honours, the reason why I indicated that the present
  - 28 application is an attempt to impeach Rule 79, but as the
  - 29 provisions of Rule 79 itself, which, with your leave, I will read

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quickly, especially Rule 79 sub-Rule (A). It says, "A Trial 1 2 Chamber may order that the press and the public be excluded from 3 all or part of the proceedings for reasons of: (i) national security; or (ii) protecting the privacy, security or 10:14:24 5 non-disclosure of the identity of a victim or witness as provided in Rule 75; or (iii) protecting the interest of justice." 6 7 Your Honour, the point is taken that our learned colleagues 8 came under Rule 79(A)(iii) in particular, protecting the 9 interests of justice. But it is our submission that when Your 10:14:46 10 Honours granted the application for closed session, everything 11 under that Rule was subsumed. In particular, you granted the application for closed session, which indicates that all the 12 13 provisions of closed session were to apply. And for that I particularly refer Your Honours to Rule 79, sub-Rule (A)(ii), 14 10:15:13 15 which I have just read. It says, "protecting the privacy, 16 security or non-disclosure of the identity." That is the word I would like to emphasise, the identity of a victim or witness as 17 provided in Rule 75. 18 19 Your Honours, the sources which the witness is about to be 10:15:37 20 called is restraining himself from disclosing is basically about the identity of those who were victims or at least whom he 21 interviewed in the course of his research, as well as even people 22 who might be called before this Court as witnesses. That has 23 been fully dealt with in Rule 75 to which reference is made. 24 10:16:04 25 Your Honours, if I may refer you to Rule 75, which Rule 79 26 refers to, Rule 75(A) in particular. It says, with your leave, 27 that, "A Judge or a Chamber may, on its own motion, or at the 28 request of either party, or of the victim of witness concerned, 29 of the Witnesses and Victims Section, order appropriate measures

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1 to safeguard the privacy and security of victims and witnesses,

2 provided that the measures are consistent with the rights of the

3 accused."

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Your Honours, when you in particular granted the 10:16:45 5 application for closed session, it was as an exception to the 6 norm. The norm is that Your Honours should hold every trial in 7 open court and that closed sessions are exceptions to the Rules provided by the Special Court and, in particular, the right by 8

the accused to be heard in open Court.

10:17:18 10 So, to summarise on that point, in particular, we are 11 saying that closed session takes note of the fact that a witness 12 who is about to testify is protected if he is about to disclose 13 the identity of a victim, not just a witness, but the identity of a victim in closed session. That is why it was clearly stated 14 10:17:41 15 under Rule 79 that Your Honours can grant leave for closed

> session in order to ensure that there is non-disclosure of the identity of a victim. So that is the operative position we are

taking that if Your Lordships have already granted this witness 18

19 protective measures under Rule 79 and have gone further, bent

10:18:00 20 backwards and have granted additional protective measures under Rule 79, it would be burdensome on the Court and it would 21

> interfere with the rights to fair trial. Especially the right to 22

be heard on the balance of information which we will need to 23

cross-examine the witness with if Your Honours go further to say 24

10:18:32 25 that we cannot cross-examine this witness about his sources. In

> 26 in particular, just to add to what my learned colleague Ms

27 Thompson said, the omission by the legal officer of the United

28 Nations in not indicating that this witness can withhold sources

29 of his information, was based on the fact that they had already BRIMA ET AL Page 21 14 SEPTEMBER 2005 OPEN SESSION

asked this Court for closed session. Like I said, the closed

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2 session assumes the fact that the witness will be under an 3 obligation to make every disclosure relating to the testimony that he will be given. 10:19:18 5 Your Honours, I was just going through my record, but I 6 recall in particular when one witness was testifying, I was just trying to find out the name of that witness, the pseudonym, 7 sorry. I think it was TF1-045. I was about to put the name of 8 9 somebody to him and then the witness initially indicated that he 10:19:48 10 had some fears, although he later on said if Your Honours were 11 willing he can disclose, but then I said out of caution I will do 12 it in closed session and Your Honours rightly granted us the 13 opportunity when we were examining TF1-045. I had to put the 14 name of a lady to him in closed session and that was all in a bid 10:20:16 15 to protect him. So it has been the case that Your Honours always 16 bend backward to grant closed session in order to protect confidentiality. In fact, that is what I was going to conclude 17 on that the entire concept of closed session is to ensure that 18 19 confidentiality is guaranteed. So any attempt by our colleagues 10:20:45 20 on the other side to further ask this Court to restrain the rights guaranteed under closed session would be an attempt to 21 impeach the very principles of confidentiality. That is our 22 23 submission. Before I sit down, may I respectfully ask Your Honours to 24 10:21:07 25 permit one of our clients, Mr Kanu, to use the convenience? 26 PRESIDING JUDGE: I will deal with that immediately, 27 Mr Fofanah. Mr Kanu can go out under escort. Thank you, Mr Fofanah, for that submission. 28

[Trial Chamber confers]

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JUDGE LUSSICK: I don't really have a question of Defence 1 2 counsel. I would just like to correct something that Mr Koroma 3 said. Mr Koroma said that thus far there has been no breach of confidentiality. This is in relation to closed sessions. Well I 10:21:55 5 am well aware of the fact, Mr Koroma, that you have only just come into this case, but in fact there has been a breach of 6 7 confidentiality and it resulted in contempt of court proceedings. 8 MR KOROMA: As My Lord pleases. PRESIDING JUDGE: Reply, Ms Pack? 10:22:18 10 MS PACK: Yes, Your Honour, I do. 11 If I can just deal firstly with the latter point made by my 12 learned friend for Mr Kamara, the second accused. The point was 13 made by my learned friend, I think, that the application thwarted the terms of Rule 79(A)(iii). This is not an application that 14 10:22:39 15 has anything to do with Rule 79(A)(iii), Your Honours have made a 16 decision under that Rule and, in particular, that subrule relating to closed session and this application has nothing to do 17 18 with that. 19 My learned friend for the first accused raised an argument 10:23:01 20 under Rule 70 and if I can deal with the Rule 70 arguments together. The point made by my learned friend Ms Thompson was 21 that Rule 70(B) does not extend its protection to the identity of 22 sources of information, as opposed to information. The point, 23 Your Honours, of the application that the Prosecution has made is 24 not under Rule 70(B), but under Rule 70(D). What Rule 70(B) 10:23:25 25 26 does is bring this witness under the protection of Rule 70. 27 Because the information he has provided is regarded as 28 confidential information so, Rule 70(B) serves to bring him under 29 the protection of Rule 70 and the whole of that Rule. What Rule

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70(B) deals with as distinct from Rule 70(D) is the disclosure

2 position. 3 Once a witness or information comes under Rule 70, because it is confidential information, was provided under conditions of 4 10:24:14 5 confidentiality to the Prosecution; that is, once this witness was provided there was consent required of the UN, or whatever 6 organisation, to have that information or that witness disclosed 7 to the Defence and that consent is required so that that witness 8 9 can testify. 70(B) goes to the decision as to whether or not 10:24:50 10 that witness can be allowed to testify and makes that subject to 11 the consent of the provider of that information/witness. 12 70(D) is the Rule that Your Honours have to consider, in my 13 submission, because that relates to this witness's oral 14 testimony. That Rule deals with the separate issue of when this witness is in court and this witness declines to answer a 10:25:11 15 16 question on the grounds of confidentiality. It is a different issue as to whether it comes within the section at all under Rule 17 70(B). They are two separate questions. 70(B) is about his 18 19 status, 70(D) and in fact (C). But (D), which is what we are 10:25:36 20 dealing with today, is about what he says when he is asked questions during his testimony. That is affirmed, actually, in 21 the paragraph to which my learned friend referred. Paragraph 25 22 of the Milosevic decision supports my proposition. Paragraph 25 23 says, "All that Rule 70 requires is that the information was 24 10:26:00 25 provided to the Prosecutor on a confidential basis. As mentioned 26 in paragraph 20 supra, for purposes of paragraph B, the 27 information must also be used solely for the purpose of 28 generating new evidence, but for paragraph C and D, that 29 requirement necessarily drops out, for once the information is

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introduced..." and so on. 1

Paragraph 25 deals with whether or not evidence or --2

- 3 sorry, whether or not information or a witness can come within
- the terms of Rule 70 at all. I would pray in aid paragraph 25 to 4
- 10:26:38 5 support my proposition as opposed to my learned friend's
  - 6 proposition.
  - The other point that was made on the terms of Rule 70 were 7
  - made by my learned friend for the second accused which was that 8
  - 9 Rule 70(D) contains in its language - it confers a discretion
- 10:26:57 10 upon Your Honours because of the words "may not". In my
  - 11 submission, that is an incorrect interpretation of the language
  - 12 of that subrule. The words "may not" are absolutely prohibitive,
  - 13 in my submission. If it was intended by that Rule to confer a
  - 14 discretion upon Your Honours, then I would suggest language that
- would have been used would have been "should not", save in 10:27:20 15
  - 16 exceptional circumstances, or something of that order. Just
  - because the word "may" is used in that phrase doesn't it 17
  - usually confer upon Your Honours a discretion. "May not" is 18
  - absolutely prohibitive. The Rule would not and could not have 19
- used the words "shall not" because that would have been a 10:27:36 20
  - directive to Your Honours, and that would have been inappropriate 21
  - language to use in a rule. It would have been in the manner of 22
  - 23 an order.
  - If I can address Your Honours on the UN letter just very 24
- 10:27:59 25 briefly. My learned friend for the first accused suggested, I
  - 26 think, that that was relied upon by the Prosecution to support an
  - 27 argument on the confidentiality of sources. I didn't do that.
  - 28 The argument that the Prosecution is making addresses the
  - 29 relationship between the witness and the source and isn't -- I

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didn't rely upon what the UN have stated in their letter as

2 supporting the argument in relation to this witness's testimony 3 and his assertion of a confidential relationship between himself and his sources, and his assertion of a privilege. 10:28:44 5 If I can move on to the privilege argument. That was a 6 separate argument not under Rule 70, but a separate argument. 7 I'll just start with making it very clear to Your Honours, and I 8 think I did this when I made my submissions in the first 9 instance, that the question of leaking is not the basis upon 10:29:04 10 which this application is made. The application is made on the question of principle. I raise the issue of leaking as a 11 12 peripheral matter. It is absolutely a peripheral matter. This 13 is about the question of principle, the balance of public interest and the principle of protecting a human rights officer 14 10:29:26 15 in the field in his guarantees of confidentiality to informants 16 when they provide information of human rights abuses to him. Now my learned friend for the first accused, and I'll just 17 deal with this briefly, suggested, I think, that there was no 18 19 basis under the Rules for an application being made by the 10:29:52 20 Prosecution save under Rule 70, and there was reference to Rule 54. What I suggest is that Rule 54 is probably the rule that 21 gives Your Honours the power to compel a witness to answer 22 questions. My point about the Rules not being explicit in 23 dealing with this issue was to say to Your Honours that there 24 10:30:16 25 isn't a rule which explicitly provides a power to Your Honours to 26 compel a witness to answer questions. In fact, it would be my 27 learned friends, probably, if we were dealing with this argument 28 during the course of a witness's testimony, who would be inviting 29 Your Honours on an application to compel the witness to answer

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- 1 the question.
- 2 This argument has arisen and I have argued first - perhaps
- 3 I shouldn't have - because the Prosecution is obviously
- anticipating such an application being made presumably by the 4
- 10:30:43 5 Defence under Rule 54 where Your Honours are conferred with
  - powers which Your Honours have in the course of conducting a 6
  - 7 trial generally. Your Honours see the latter phrase in that
  - provision of Rule 54, "...the powers necessary for the purpose of 8
  - 9 an investigation or for the preparation or conduct of the trial,"
- 10:31:08 10 and the powers that Your Honours have there, of course, to issue
  - 11 such orders, summonses, subpoenas, warrants and transfer orders
  - 12 as may be necessary for the purposes of an investigation for the
  - 13 preparation of conduct of the trial.
  - The language of Rule 54 in fact does not deal specifically 14
- 10:31:40 15 in terms of compelling a witness. It deals with subpoenas, which
  - 16 is obviously an entirely different matter. I suspect my learned
  - friends would argue that Your Honours had an inherent power to 17
  - compel a witness to testify under your powers under the Rules. 18
  - 19 Rule 54 does not explicitly deal with compellability.
- 10:32:08 20 JUDGE SEBUTINDE: Ms Pack, are you saying that the trial
  - Chamber does not have such powers --21
  - MS PACK: No, I'm not saying that at all. There is not --22
  - 23 JUDGE SEBUTINDE: -- to compel a witness to answer
  - questions? 24
- 10:32:16 25 MS PACK: I would suggest that Your Honours do have such
  - 26 powers, but I would suggest it is not a power that is explicitly
  - 27 identified in the Rules. It is a power that is certainly
  - 28 envisaged by the Rules because, of course, there is the rule that
  - 29 deals explicitly with the right of a witness not to incriminate

himself. The Rule, broadly speaking states that if Your Honours

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2 order a witness to answer a question, then the content of that 3 answer can't be used to incriminate him in the future. So there 4 clearly is envisaged in the Rules a power to compel a witness to 10:32:54 5 answer questions. 6 Just dealing with the public interest argument, what I understood from my learned friend's absence of submissions to the 7 8 contrary is that they do not differ with the Prosecution's view, 9 the Prosecution's proposition that there is a public interest in 10:33:21 10 protecting the work of human rights officers in the field. There 11 is a public interest and I don't think my learned friends would 12 suggest to the contrary. 13 I took my learned friend's for the first accused submission to be that confidentiality was not an integral part of that 14 10:33:45 15 function that human rights officers have in the field of 16 gathering information and therefore that was something that did not need to be protected. 17 MS THOMPSON: I hate to interrupt my learned friend in her 18 19 submission, but I think she has got me wrong. That was not what 10:33:59 20 I said. What I said was, in my submission, I do not think that this witness divulging in his evidence in closed session would 21 impinge on the work of human rights officers - not in telling 22 those words, but certainly that is what I was driving at -23 impinge on the work of human rights officers. And I did go on to 24 10:34:16 25 say, if my memory serves me correctly - I would have to look at 26 my notes again - but I did go on to say that they can always look

to paragraph 11 of the manual, which is the issue of consent. In

interviews they could actually ask them, "Would you consent to

using this information for other purposes?" That is what that

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- paragraph says. I did say that they could use the manual for 1
- 2 guidance, which they are now covered by, but which he was not
- 3 covered by when he was making his report all those years ago
- prior to 2001.
- 10:34:53 5 MS PACK: I thank my learned friend for assisting my
  - understanding of the submission, which then appears to be that 6
  - because a manual is issued in 2001, stating in terms that a 7
  - respect for the confidentiality of information is essential, that 8
  - 9 perhaps that does not mean the respect for confidentiality was
- 10:35:14 10 essential in 1998 or 1999. In my submission, that proposition
  - 11 cannot possibly --
  - 12 MS THOMPSON: I hate to interrupt my learned friend again.
  - 13 That is not what I said.
  - 14 MS PACK: Perhaps my learned friend -- Your Honours have
- heard my learned friend's submissions. 10:35:35 15
  - 16 MS THOMPSON: I'm sure Your Honours --
  - PRESIDING JUDGE: Counsel do not let us get into --17
  - 18 JUDGE LUSSICK: If I solves anything at all, I understood
  - 19 Ms Thompson to say that, simply, the witness would not be allowed
- 10:35:50 20 to rely on a post-dated manual.
  - MS PACK: If I may deal with the consequences of that 21
  - submission, which is this: The effect of that submission is the 22
  - 23 suggestion that this witness is now belatedly asserting a
  - relationship of confidentiality with his sources. Of course, 24
- 10:36:15 25 he's not suddenly decided today or yesterday that he is going to
  - 26 view the sources that he interviewed in 1998 or 1999 as
  - 27 confidential sources. He is asserting a privilege, because he
  - says that in that period, certain sources not all of them are 28
  - 29 regarded by him as confidential sources. Clearly if they

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consented to the release of their names then the witness would 1 2 provide the release of their names. But they are confidential

- 3 sources just because they wanted their names to be held back from
- release to third parties. Your Honours can see from the
- 10:36:55 5 manual -- Your Honours may find the manual assists you in seeing
  - why that respect for confidentiality is so important. The 6
  - witness can give evidence about the creation of that manual. I 7
  - 8 don't want to go into what the witness might say about the
  - 9 genesis of that manual and how it was written but it is clearly
- 10:37:12 10 the position, in submission that the principles that were later
  - 11 enshrined in that training manual dated 2001 were principles that
  - 12 applied to the conduct of human rights officers' work in
  - 13 the early 1990s, mid-1990s. That is what the witness's view
  - clearly is because he is asserting a confidentiality 14
- 10:37:41 15 relationship; a relationship of confidentiality with his sources
  - 16 who didn't want their names revealed. That principles which he
  - is relying on is later enshrined in the training manual for the 17
  - purposes of all human rights officers. 18
  - 19 The point that I made in relation to this paragraph J was
- 10:38:04 20 that the effect of a ruling today, or this week, made in public,
  - on argument made in public, will send a message to human rights 21
  - officers and potential informants in conflict situations in the 22
  - future that the confidentiality of their name or identities may 23
  - not be protected in future criminal proceedings pursued, perhaps, 24
- against perpetrators whom they name. It might very well 10:38:34 25
  - 26 constrain a human rights officer in the future from even
  - 27 obtaining from these sorts of sources where they had fears for
  - 28 those sources.
  - 29 I deal with the balance argument, the competing interest,

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- which was the right of the accused to cross-examination 1 2 witnesses, made a point about that, that the cross-examination of
- 3 this witness would be impeded if Your Honours granted an
- application in terms that the witness wouldn't be compelled to
- 10:39:18 5 name a source, the actual name of a source as opposed to the type
  - of source. My learned friend cited three questions that she 6
  - might ask, or did ask, of witnesses, earlier in this trial: How 7
  - 8 do you know that? When did you know that? Who told you? Those
  - 9 are all questions that my learned friends can ask of this
- 10:39:35 10 witness. It is no different, in my submission, from a crime base
  - 11 witness from whom you have heard, who might have said, "Well,
  - someone came and told me in so and so did this. I don't know the 12
  - 13 name of that person, but that is what they told me." Your
  - Honours can then exercise the function which Your Honours have to 14
- 10:39:55 15 balance the quality of that evidence at the end of the trial
  - 16 after hearing all the evidence in the case. It is not just about
  - the evidence of this witness alone, of course, but all the 17
  - evidence that Your Honours will hear, and decide whether Your 18
  - 19 Honours consider that the quality of the evidence is such that
- 10:40:11 20 you would want to place any weight upon it.
  - 21 One moment, Your Honour, while I see whether there is
  - anything else I need to deal with. I am grateful. 22
  - 23 There are just a couple of other matters, Your Honour. The
  - other point on the issue of cross-examination of the witness, my 24
- 10:41:28 25 learned friend made the point that the absence of identification
  - 26 by this witness of the name of a source and the allowing this
  - 27 witness to refuse to answer questions as to the identity of
  - 28 sources would have some impact over the Defence's ability to
  - 29 challenge that evidence. My learned friend said that the sources

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	1	of the information provided by this witness were essential as
	2	they went to the credibility of this witness. In my submission,
	3	the Defence aren't limited in their ability to challenge this
	4	evidence by the information provided by this witness that was
10:42:27	5	given to him by an unnamed source if he refuses to name the
	6	source. My learned friends are still able to put contrary
	7	information to the witness and will be in a position to when they
	8	come to call witnesses during the Defence case to call their own
	9	evidence to challenge any information provided by the witness
10:42:48	10	during his own evidence. So it is absolutely not the case that
	11	they will not be in a position to challenge information provided
	12	by this witness provided to him by unnamed sources, because
	13	they will do and they can do through cross-examination.
	14	I will deal again with letter from the United Nations. My
10:43:15	15	learned friend made the point that there is no mention in the
	16	letter about withholding of naming sources. Therefore, had the
	17	UN meant to have included that in the letter they would have been
	18	done so. Insofar as there is no explicit mention of the
	19	withholding of names of confidential sources then Your Honours
10:43:43	20	can rely as support for the proposition that it is the UN's view
	21	that this witness should name all confidential sources. Your
	22	Honours, that is beside the point. The point here, and I repeat
	23	it again, is that this application is made in relation to this
	24	witness's assertion of privilege and this witness's relationship
10:44:01	25	between him and his sources, whom he regards as confidential.
	26	I will just deal with another point about omission. My
	27	learned friend for the first accused made some reference to a
	28	failure to mention other UN workers in a decision concerning the
	29	TCRC in the Simic and others case which is referred to again in

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- the Brdjanin and Talic decision at paragraph 12. I will just 1
- 2 deal with that peripherally. The Simic case dealt with the
- 3 situation of an ICRC employee, so, clearly wasn't going to then
- 4 make any deliberations or any findings about the privilege
- 10:44:53 5 attaching to any other employees in any other NGO or UN agencies.
  - 6 Your Honours, I repeat that the Prosecution's position is
  - 7 under the balance of interest argument the is balance clearly is
  - 8 weighted in favour of allowing an absolute privilege to be
  - 9 asserted by this witness to refuse to answer a question which
- 10:45:27 10 will name confidential source. Those are my submissions unless I
  - 11 can assist any further.
  - JUDGE SEBUTINDE: Ms Pack, I think it was Ms Thompson who 12
  - 13 alluded to the practice that we have had in this Chamber whereby
  - 14 witnesses that are literate and able to write names on pieces of
- 10:45:53 15 paper have done so and we have admitted those pieces of paper in
  - 16 evidence as exhibits under seal. And she suggested, I think, in
  - her submissions that this could work as an alternative or as a 17
  - compromise. I would particularly like to hear your thoughts on 18
  - 19 this.
- 10:46:12 20 MS PACK: Yes, Your Honour. In my submission it is the
  - same point that I made, or the Prosecution makes, in relation to 21
  - the position, which will be that this witness is testifying in 22
  - any event in closed session. It is the same or similar point. 23
  - What the Prosecution says is that this issue is one of principle 24
- 10:46:29 25 as opposed to practical outcomes. That is why I said the
  - 26 question of leaking of information is absolutely peripheral to
  - 27 it. There are two limbs to the application, one under Rule 70,
  - 28 which is a mandatory under 70(D) which says that once this
  - 29 witness has asserted confidentiality it follows that he may be

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1 allowed to decline to answer a question on that ground. And he 2 has made that assertion of confidentiality. On the second limb 3 of the balance of interest argument, the Prosecution says that 4 this is a question of principle for Your Honours to decide; it is 10:47:06 5 the principles of whether the interests of human rights officers in the field to have protected the confidentiality of their 6 7 sources is one that outweighs in the circumstances other competing interests before this Chamber. What needs to be 8 9 protected in these circumstances is the fact of human rights 10:47:31 10 officers, or one of the things that needs to be protected, is the 11 fact of human rights officers being forced to name their 12 informant, that principle being one that is found by a court 13 after hearing argument. That would carry then as a matter of 14 principle to the knowledge and practice of human rights officers 10:47:54 15 and potential informants in the future. That is the point; that 16 is why it is a question of principle not so much whether or not it leaks out in the circumstances of this Court or whether or not 17 Your Honours could think of alternative ways in which the 18 information could come before this Court. 19 10:48:11 20 JUDGE LUSSICK: Ms Pack, I am asking you about Rule 70(D) and you are saying that the witness would be asserting 21 confidentiality. It follows that he then does not become 22 compellable to reveal the source. But it seems to me that Rule 23 70(D) would not apply to your witness. You see, Rule 70(D) 24 10:48:47 25 provides that when the Prosecution calls as a witness the person 26 providing, or representative of the entity providing information 27 under this Rule, it seems to me that the person providing or his or her representative would be the source. Your witness is not 28 29 the person providing or his representative; your witness is the

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recipient of the information. It is only the source, the person 1

- 2 providing or his representative who can claim confidentiality.
- 3 That is the way I read the Rule.
- 4 MS PACK: In my submission, that is not the way in which
- 10:49:35 5 the Rule should be read. I draw an analogy here with a situation
  - where, for example, a police officer is giving evidence as to the 6
  - identity -- information from a confidential source back in 7
  - 8 national jurisdiction. One wouldn't ever require the source to
  - 9 come forward and say, "I have a confidential relationship with
- 10:49:56 10 the police officer, therefore, he should not be compelled to
  - 11 answer questions identifying me." Rule 70 in my submission is
  - 12 geared towards the protection of providers of information to the
  - 13 Office of the Prosecutor in the first instance. That is what
  - Rule 70(B) does; it says if an entity or an individual provides 14
- 10:50:17 15 information to the Office of the Prosecutor, and by information
  - 16 the Milosovic decision has said information includes a witness,
  - so if a witness is provided under Rule 70(B) then the whole of 17
  - the provisions of Rule 70 apply. So, what I say is this witness 18
  - 19 comes within the language of Rule 70(B) in that he has been
- 10:50:42 20 provided, or the information that he will testify about he has
  - been provided under the provisions of that Rule, it follows that 21
  - 22 once he is in court, Rule 70(D) is triggered. It is not
  - 23 necessary that Your Honours then invite the source to provide the
  - information or decline to provide the information. 24
- 10:51:01 25 JUDGE LUSSICK: Just on that point, and I am sorry to
  - 26 interrupt you. But we would not invite anybody. But Rule 70(D)
  - 27 seems to me to go out of its way to refer to a specific person on
  - 28 the question of claiming confidentiality, and it refers to the
  - 29 person who provides the information or his representative. Now,

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- 1 your witness would be, as I said before, a recipient of that
- 2 information, but he is not providing the information in respect
- 3 of which he would claim confidentiality. In fact, he is not the
- person referred to in Rule 70(D).
- MS PACK: What I say is that that is not the position. 10:51:48 5
  - 6 Because if one reads the first phrase, "If a Prosecutor calls as
  - a witness the person providing or a representative of the entity 7
  - 8 providing information under this Rule", it is referring back to
  - 9 70(B). It is saying that if you call your witness, provider of
- 10:52:07 10 confidential information, information has been provided to the
  - Prosecution because it is confidential under Rule 70(B) then that 11
  - witness, when he is in court, is protected by Rule 70(D) and then 12
  - 13 he can then assert separately the confidentiality as allowing him
  - to refuse to answer questions. The first phrase of Rule 70(D) is 14
- 10:52:29 15 referring back to the provision of this witness in the first
  - 16 instance as a witness provided under conditions of
  - confidentiality to the OTP, which is what one sees reflected in 17
  - the UN's letter. The UN's concern related to the whole of the 18
  - 19 information that its employees obtain in the course of their
- 10:52:51 20 employment and regarded as confidential. So that when a witness
  - is provided, or when a former employee, or employee of the UN 21
  - 22 gives information to the Office of the Prosecutor, there is
  - required consent before that information is disclosed. That is 23
  - what brings this individual under the terms of Rule 70(B). That 24
- 10:53:13 25 is the confidential information that is meant by Rule 70(B) --
  - 26 the whole of the testimony -- the fact of the witness testifying,
  - 27 which is the subject of waiver. 70(D) deals with something
  - 28 different, which is what this witness will say when he is
  - 29 testifying. Then he can assert separately, effectively a

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1 privilege, and that is his relationship with the evidence that he will give and what I say is his relationship with the names of 2 3 the sources provided to him confidentially. 4 My learned friend has raised the point that it does not 10:53:58 5 matter that the information that he will testify about is hearsay evidence, it is still information about which he testifies. 6 7 Whether it comes from another source or whether it is the naming of a source, that is not the point. The point is that this 8 9 section is directed towards his testimony in all its forms. 10:54:18 10 I should just deal again with the point on the issue of 11 privilege and the possible ways around the assertion of the 12 privilege. In my submission there isn't a way around the 13 privilege that this witness has in relation to refusing to answer questions naming his sources because it is an absolute privilege. 14 10:54:42 15 So there is no way that, once asserted, and once he has refused 16 to answer questions, there is no way one can try and qualify that by saying, "Well, put it down on a bit of paper." He is 17 asserting a privilege on the grounds of a relationship between 18 19 him and source, and there is not any qualification of that. 10:55:13 20 JUDGE LUSSICK: I see that. 21 PRESIDING JUDGE: Ms Pack, it has been submitted by the Defence that the decision in Talic applies only to war 22 23 correspondents and has no application to a human rights officer. What is your comment on that submission? 24 10:55:35 25 MS PACK: What I say is that this is an absolutely novel 26 point before Your Honours; the situation relating specifically to 27 a human rights officer. It is a very important and novel point. 28 What I have relied upon in the Brdjanin and Talic case is that it

provides some guidance. In my submission it may assist Your

	1	Honours in deliberating on this novel point. But what it does do
	2	is deal with the question of balancing of public interest, which
	3	in my submission it is authority for the proposition that when
	4	faced with these questions of assertions of privileges by war
10:56:11	5	correspondents, journalists, human rights officers, any sort of
	6	assertion of privilege, then Your Honours may look to Brdjanin
	7	and Talic providing support for the way in which those questions
	8	may be resolved and the balancing of those interests. And in my
	9	submission that authority is useful in setting out how that was
10:56:37	10	conducted, the balancing exercise, was conducted in that case.
	11	But, of course, it was a question of the balances of interests in
	12	those circumstances, the circumstances of war correspondents. I
	13	would say that the public interest in protecting human rights
	14	officers in their field of operations may be regarded by Your
10:56:54	15	Honours as even more important than protecting the rights of war
	16	correspondents because of the quality and importance of the work
	17	that these officers are doing.
	18	PRESIDING JUDGE: Thank you, Ms Pack. Just allow us to confer
	19	[Trial Chamber confers]
10:57:24	20	PRESIDING JUDGE: Thank you, counsel. There are obviously
	21	numerous issues, and as you have noted, it is a novel point. We
	22	will give a decision tomorrow morning, bearing in mind that this
	23	afternoon there are other commitments and we don't normally sit
	24	on Wednesdays. Even if it is not fully annotated, reasoned, it
10:58:24	25	will be a decision. Thank you. In the circumstances, we will
	26	therefore adjourn the Court until tomorrow morning.
	27	[Whereupon the hearing adjourned at 10.50 a.m.
	28	to be reconvened on Thursday, the 15th day of
	29	September 2005. at 9.15 a.m.l